

No. EL750476613 US

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,668	02/09/2001	Stephen D. Gillies	LEX-011

CONFIRMATION NO. 8264

021323

TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

FORMALITIES LETTER



OC000000005917135

Date Mailed: 03/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1070.
 - \$720 for 40 total claims over 20.
 - \$80 for 1 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1910.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

08/01/2001 MYUSUF! 00000055 09780668
01 FC:101 710.00 0P
02 FC:103 720.00 0P
03 FC:102 80.00 0P
04 FC:104 270.00 0P
05 FC:105 130.00 0P

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07-30-01

Sector 4
H&H

Express Mail Mailing Label No. EL750476613US



TRANSMITTAL FORM

Application Serial Number	09/780,668
Filing Date	February 9, 2001
First Named Inventor	Gillies
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-011
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application (2 documents) <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input checked="" type="checkbox"/> Paper Copy/CD <input checked="" type="checkbox"/> Computer Readable Copy <input checked="" type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

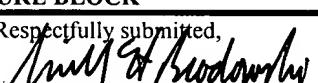

 Michael H. Brodowski
 Atty/Agent for Applicant(s)
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



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UL 27 2001
FEE TRANSMITTAL
FY 2001

<i>Complete if Known</i>	
Application Serial Number	09/780,668
Filing Date	February 9, 2001
First Named Inventor	Gillies
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-011

METHOD OF PAYMENT					FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other					3. ADDITIONAL FEES				
					Large Entity	Small Entity	Fee Description		Fee Paid
					Fee (\$)	Fee (\$)			
					130	65	Surcharge - late filing fee or oath		130.00
					50	25	Surcharge - late provisional filing fee or cover sheet		
					130	130	Non-English specification		
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.					2,520	2,520	For filing a request for reexamination		
3. <input type="checkbox"/> Applicant claims small entity status.					110	55	Extension for reply within first month		
					390	195	Extension for reply within second month		390.00
					890	445	Extension for reply within third month		
					1,390	695	Extension for reply within fourth month		
					1,890	945	Extension for reply within fifth month		
					310	155	Notice of Appeal		
					310	155	Filing a brief in support of an appeal		
					270	135	Request for oral hearing		
					130	130	Petitions to the Commissioner		
					50	50	Petitions related to provisional applications		
					180	180	Submission of Information Disclosure Statement		
					710	355	Filing a submission after final rejection (37 CFR 1.129(a))		
					710	355	For each additional invention to be examined (37 CFR 1.129(b))		
					Other fee (Specify)				
					Other fee (Specify)				
FEE CALCULATION									
1. FILING FEE									
Large Entity									
Fee (\$)		Fee Description			Fee Paid				
710		Utility filing fee			710.00				
320		Design filing fee							
150		Provisional filing fee							
					Number Filed	Number Extra	Rate	Amount	
Total Claims		60	- 20 = 40		x \$ 18.00 =	720.00			
Independent Claims					4	- 3 = 1	x \$ 80.00 =	80.00	
<input checked="" type="checkbox"/> Multiple Dependent Claim(s), if any							\$270.00 =	270.00	
					TOTAL:		1,780.00		
					SMALL ENTITY DISCOUNT:				
					SUBTOTAL (1)		(\$)		1,780.00
2. AMENDMENT CLAIM FEES									
Claims Remaining		Highest No. Previously	Present Extra	Rate	Fee Paid				
After Amend.		Paid For							
Total		-	=	x \$ 18.00 =					
Indep.		-	=	x \$ 80.00 =					
<input type="checkbox"/> First Presentation of Multiple Dep. Claim					+ \$270.00 =				
					TOTAL:		(\$)		
					SMALL ENTITY DISCOUNT:		(\$)		
					SUBTOTAL (2)		(\$)		0.00
					SUBTOTAL (1)		(\$)		1,780.00
					SUBTOTAL (2)		(\$)		0.00
					SUBTOTAL (3)		(\$)		520.00
					TOTAL		(\$)		2,300.00
CORRESPONDENCE ADDRESS					SIGNATURE BLOCK				
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100					Respectfully submitted,  Michael H. Brodowski Attorney for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110				